



MTS-2700US1

PATENT

M. Braunson

12/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yuji Judai : Art Unit: 2814
Serial No.: November 21, 2001 : Examiner: To Be Assigned
Filed: 09/990,474 :
FOR: SEMICONDUCTOR DEVICE HAVING :
A FERROELECTRIC CAPACITOR :
WITH TENSILE STRESS PROPERTIES :
(AS AMENDED) :

TECHNOLOGY CENTER 2800

OCT - 9 2002

RECEIVED

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The Japanese references are not in the English language, but English abstracts or counterpart patents thereof are attached to the copies of the references submitted herewith.

This reference was cited by a foreign patent office in a counterpart foreign application. A copy of the Japanese Office Action with partial English

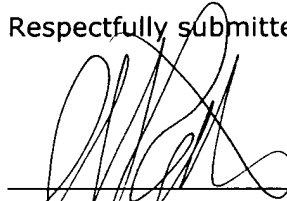
language translation, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP 609 IIIA(3), second paragraph.

No first Official Action has yet been received and it is presumed that none has yet been mailed. A certification, however, is provided herewith:

CERTIFICATION

The undersigned, an attorney registered to practice before the U.S. Patent and Trademark Office, hereby certifies that the reference disclosed in the subject Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months before the filing of the Information Disclosure Statement.

Respectfully submitted,



Allan Ratner, Reg. No. 19,717
Attorney for Applicants

Enclosures: PTO Form 1449
Copies of (8) References

Dated: October 2, 2002

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The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:


October 2, 2002

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| FORM PTO-1449 (Rev. 10-1-99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. MTS-2700US1 | SERIAL NO. 09/990,474 |
| | APPLICANT Yuji Judai | | |
| | FILING DATE November 21, 2001 | GROUP 2814 | |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Sub Class | Filing Date |
|------------------|-----------------|----------|--|-------|------------------------|-------------|
| | 6,174,822 | 01/16/01 | Nagano et al. (with counterpart JP 11-74486) | | TECHNOLOGY CENTER 2800 | |
| | 5,750,419 | 05/12/98 | Zafar (with counterpart JP 10-270655) | | | |
| | 5,374,578 | 12/20/94 | Patel et al. (with counterpart JP 06-13565) | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Sub Class | Translation YES | NO |
|------------------|-----------------|----------|---|-------|-----------|-----------------|----|
| | 11-74486 | 03/16/99 | Japan (with counterpart U.S.P. 6,174,822) | | | | |
| | 10-270655 | 10/09/98 | Japan (with counterpart U.S.P. 5,750,419) | | | | |
| | 06-13565 | 01/21/94 | Japan (with counterpart U.S.P. 5,374,578) | | | | |
| | 62-150726 | 07/04/87 | Japan (with English abstract) | | | X | |
| | 08-022990 | 01/23/96 | Japan (with English abstract) | | | X | |

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

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| 1. | Japanese Official Action dated July 23, 2002, for JP 9-293046 with partial English translation. |
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| Examiner | Date Considered |
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.